

Docket No.: A-3741

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : VOLKER MÜLLER ET AL.  
Filed : CONCURRENTLY HEREWITH  
Title : GRIPPER DEVICE IN A SHEET-PROCESSING MACHINE AND  
SHEET-PROCESSING MACHINE HAVING A GRIPPER DEVICE

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. 1.98 copies of the following patents and/or publications are submitted herewith:

U.S. Patent No. 5,884,561 (Thünker et al.), dated March 23, 1999, and corresponding German Patent DE 196 13 963 C2 (Thünker et al.), dated October 16, 1997;

U.S. Patent No. 5,431,099 (Maass et al.), dated July 11, 1995, and corresponding German Patent DE 42 33 867 C2 (Maass et al.), dated April 14, 1994;

U.S. Patent No. 5,333,547 (Pfisterer et al.), dated August 2, 1994, and corresponding German Patent DE 42 00 406 C2 (Pfisterer et al.), dated July 15, 1993;

U.S. Patent No. 4,813,353 (Raab et al.), dated March 21, 1989, and corresponding German Patent DE 36 32 769 C2 (Raab et al.), dated April 7, 1988;

U.S. Patent No. 5,749,572 (Fricke et al.), dated May 12, 1998, and corresponding European Patent Application EP 0 775 576 B1 (Fricke et al.), dated May 28, 1997;

U.S. Patent Application Publication No. 2002/0063383 A1 (Thünker et al.), dated May 30, 2002, and corresponding German Published Non-Prosecuted Patent Application DE 101 50 838 A1 (Thünker et al.), dated May 23, 2002;

German Patent DE 43 07 712 C1 (Dussmann), dated February 17, 1994, and English abstract thereof;

German Patent DE 42 33 422 C1 (Ochs), dated January 13, 1994, and English abstract thereof;

German Published Non-Prosecuted Patent Application DE 39 19 088 A1 (Melzer et al.), dated December 13, 1990, and English abstract thereof;

German Utility Model DE 299 12 790 U1, dated October 21, 1999, Gripper Heights Adjuster;

German Published Prosecuted Patent Application DE 1 159 471, dated December 19, 1963, Device for Adjusting Various Sheet Strengths on Rotary Sheet Printing Machines for Multi-Colored Printing;

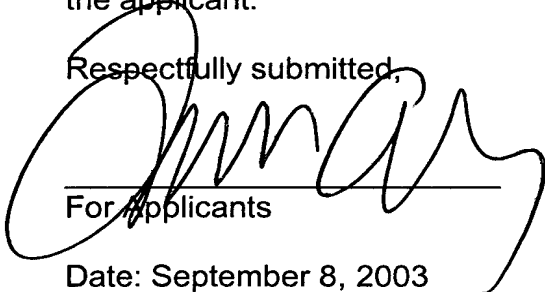
German Published Non-Prosecuted Patent Application DE 39 02 605 A1 (Eberhard et al.), dated August 2, 1990, and corresponding U.K. Patent Application GB 2 234 318 A (Eberhard et al.), dated January 30, 1991;

U.K. Patent Application GB 2 050 312 A (Poad et al.), dated January 7, 1981;

German Search Report, dated April 1, 2003.

If no translation of pertinent portions of any foreign language patents or publications mentioned above is included with the aforementioned copies of those applications, patents and/or publications, it is because no existing translation is readily available to the applicant.

Respectfully submitted,



For Applicants

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REG. NO. 29,308

Date: September 8, 2003

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| <b>FORM PTO-1449 (SUBSTITUTE)</b><br><br>U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE<br><br><b>INFORMATION DISCLOSURE<br/>         STATEMENT BY APPLICANT</b><br>(37 CFR 1.98(b))   |   |                    |          | Attorney Docket No.: A-3741<br>Appl. No.:<br><br>Applicant: VOLKER MÜLLER ET AL.<br><br>Filing Date: September 8, 2003<br>Group Art Unit: |       |              |                     |
|  |   |                    |          |   |       |              |                     |
| EXAMINER<br>INITIALS   |   | PATENT NO.         | DATE     | PATENTEE  | CLASS | SUB<br>CLASS | FILING<br>DATE      |
|  | A | 5,884,561          | 3/23/99  | Thünker et al.  |       |              |                     |
|  | B | 5,431,099          | 7/11/95  | Maass et al.  |       |              |                     |
|  | C | 5,333,547          | 8/2/94   | Pfisterer et al.  |       |              |                     |
|  | D | 4,813,353          | 3/21/89  | Raab et al.   |       |              |                     |
|  | E | 5,749,572          | 5/12/98  | Fricke et al.   |       |              |                     |
|  | F | 2002/0063383<br>A1 | 5/30/02  | Thunker et al.  |       |              |                     |
|  | G |                    |          |   |       |              |                     |
|  | H |                    |          |   |       |              |                     |
|  | I |                    |          |   |       |              |                     |
| <b>FOREIGN PATENT DOCUMENT</b>   |   |                    |          |   |       |              |                     |
|  |   | DOCUMENT NO.       | DATE     | COUNTRY   | CLASS | SUB<br>CLASS | TRANSL.<br>YES   NO |
|  | J | 196 13 963 C2      | 10/16/97 | Germany   |       |              |                     |
|  | K | 42 33 867 C2       | 4/14/94  | Germany   |       |              |                     |
|  | L | 42 00 406 C2       | 7/15/93  | Germany   |       |              |                     |
|  | M | 36 32 769 C2       | 4/7/88   | Germany   |       |              |                     |
|  | N | 101 50 838 A1      | 5/23/02  | Germany   |       |              |                     |
| <b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>  |   |                    |          |   |       |              |                     |
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| <b>EXAMINER</b>  |   |                    |          | <b>DATE CONSIDERED</b>  |       |              |                     |
| <b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |   |                    |          |   |       |              |                     |

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|   | J | 43 07 712 C1  | 2/17/94  | Germany   |       |              |                     |
|   | K | 42 33 422 C1  | 1/13/94  | Germany   |       |              |                     |
|   | L | 39 19 088 A1  | 12/13/90 | Germany   |       |              |                     |
|   | M | 299 12 790 U1 | 10/21/99 | Germany   |       |              |                     |
|   | N | 1 159 471     | 12/19/63 | Germany   |       |              |                     |
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|   | J | 39 02 605 A1        | 8/2/90      | Germany   |              |                      |                             |
|   | K | 0 775 576 B1        | 5/28/97     | Europe  |              |                      |                             |
|   | L | 2 234 318 A         | 1/30/91     | U.K.  |              |                      |                             |
|   | M | 2 050 312 A         | 1/7/81      | U.K.  |              |                      |                             |
|   | N |                     |             |   |              |                      |                             |
| <b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>   |   |                     |             |   |              |                      |                             |
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